



Office of Enterprise Compliance

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December 14, 2010

AGENDA ITEM 4a

TO: MEMBERS OF THE FINANCE COMMITTEE

I. SUBJECT: Office of Enterprise Compliance Report

II. PROGRAM: Compliance

III. RECOMMENDATION: Information Only

IV. ANALYSIS:

The attached matrix provides a status update from the Office of Enterprise Compliance.

V. STRATEGIC PLAN:

This item is not a specific product of the Strategic Plan but is part of the regular and ongoing workload planned for the Office of Enterprise Compliance.

VI. RESULTS/COSTS:

N/A

SHERRY A. JOHNSTONE
Chief Compliance Officer

LARRY JENSEN
Interim Chief Risk Officer

Attachment